

1600

JC02 Rec'd PCT/PTO 25 MAR 2002

RECEIVED
APR 22 2002
TECH CENTER 1600/2300

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a envelope addressed to: Assistant Commissioner for Patents, Arlington, VA 22202, on the date appearing below.

By Linda M. Durbin ELI LILLY AND COMPANY

Date March 20, 2002



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Chen, et al.)
Serial No. : 10/009,654)
Filed : December 11, 2001) Group Art Unit:
For : PSEUDOMYCIN PRODRUGS) 1614
Docket No. : X-12437)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Arlington, VA 22202

Approved
Smr 6/30/04

Sir:

Applicants request correction of the filing receipt for this application. A copy of the receipt, with the corrections noted, is enclosed.

Inventor, **XICHENG DAVID SUN**, was omitted. The Domestic Priority Data should be corrected as follows:

UNITED STATES OF AMERICA 60143840 07/15/1999

THIS APPLICATION IS A 371 OF PCT/US00/15016 06/08/2000

Applicants therefore request that the filing receipt be corrected.

Respectfully submitted,

Tina M. Tucker

Tina M. Tucker
Agent for Applicants
Registration No. 37,145
Phone: 317-277-3537

Serial No. 10/009,654

-2-

Eli Lilly and Company
Patent Division/
Lilly Corporate Center
Indianapolis, Indiana 46285

19 March 2002

Enclosure: Copy of Filing Receipt with the changes noted
thereon.

RECEIVED

APR 22 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

TECHNOLOGY CENTER
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/009,654 ✓	12/11/2001 ✓	1614	890	X-12437		15	2

25885
ELI LILLY AND COMPANY
LILLY CORPORATE CENTER
DROP CODE 1104
INDIANAPOLIS, IN 46285



CONFIRMATION NO. 8432 ✓

FILING RECEIPT



OC0000000752028

Date Mailed: 03/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shu Hui Chen, Carmel, IN; ✓
Michael John Rodriguez, Indianapolis, IN; ✓
Xicheng David Sun

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/15016 06/08/2000 ✓

Foreign Applications

UNITED STATES OF AMERICA 60143840 07/15/1999 ✓
UNITED STATES OF AMERICA 60134231 05/14/1999

Approved
Smm 6/30/04

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

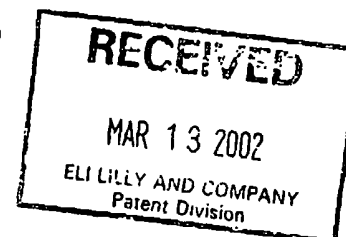
Early Publication Request: No

** SMALL ENTITY **

Title

Pseudomycin prodrugs ✓

Preliminary Class



514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).